

Notice Pursuant to Bankruptcy Rule 3001

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re: Lehman Brothers Holdings Inc.

Case No.: 08-13555 (JMP)

Court ID (Court Use Only) \_\_\_\_\_

NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY  
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

<u>Name of Transferee:</u> Zähringer Privatbank AG	<u>Name of Transferor:</u> Notenstein La Roche Privatbank AG
Notices to Transferee should be sent to: Zähringer Privatbank AG Schmiedenplatz 3 CH-3011 Bern  PHONE ++41 31 307 50 00 Attn: Adrian Lurf EMAIL adrian.lurf@zaehringer-privatbank.ch	Court Record Address of the Transferor: (Court use only)
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor Notenstein La Roche Privatbank AG Bohl 17 Postfach CH-9004 St. Gallen, Switzerland Attn: Corporate Actions +41 71 242 59 19
<u>Amount of Claim Being Transferred:</u>  USD 50'000.—(face amount of securities)	<b>NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY --- SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS</b>
<u>Court Claim No. (if known):</u> 49651.03	
<u>Date Claim Filed:</u> Oktober 27, 2009	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Adrian Lurf, authorized representative

Date: 05/17/2016

Tihomir Katolic, authorized representative

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

ZÄHRINGER PRIVATBANK

**Evidence of Transfer**

**EVIDENCE OF TRANSFER OF CLAIM**

TO: United States Bankruptcy Court for the Southern District of New York (the  
"Bankruptcy Court")  
One Bowling Green  
New York, New York 10004  
Attention: Clerk of the Court

AND TO: Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: *In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)*

Proof of Claim Number: 49651 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
(2 ½ % min) BCN Lehman Bros Treasury BV 2004-08.03.2010	XS0186883798	Lehman Brothers Treasury BV	LBH Inc.	USD 50'000.--

The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on pages 13 and in paragraphs/lines 19 of the Addendum to the Proof of Claim.

NOTENSTEIN LA ROCHE PRIVATBANK AG, ("Transferor") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

Zähringer Privatbank AG  
Schmiedenplatz 3  
CH-3011 Bern  
PHONE ++41 31 307 50 00  
Attn: Adrian Lerf  
EMAIL [adrian.lerf@zaehringer-privatbank.ch](mailto:adrian.lerf@zaehringer-privatbank.ch)

("Transferee") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.


Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the

Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated March 18<sup>th</sup> 2016.

Notenstein La Roche Privatbank AG  
Transferor

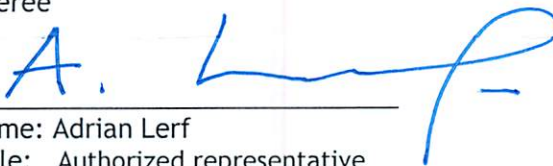
By:   
Name: August Zingg  
Title: Member of the Management


By:   
Name: Marco Rinaldi  
Title: Member of the Management

ACKNOWLEDGED BY:

[Zähringer Privatbank AG]  
Transferee

**ZÄHRINGER PRIVATBANK**

By:   
Name: Adrian Lerf  
Title: Authorized representative

By:   
Name: Tihomir Vodalica  
Title: Authorized representative



registered mail

Lehman Brothers Holdings Claims Processing  
c/o Epiq Bankruptcy Solutions, LLC  
FDR Station, PO Box 5076  
New York, NY 10150-5076  
United States

Contact: Michael Maurer  
T +41 31 950 73 11, F +41 31 950 76 51  
[michael.maurer1@swisscom.com](mailto:michael.maurer1@swisscom.com)  
Gümligen, May 27th, 2016

**In re: Lehman Brothers Holdings Inc.**

Dear Sir or Madam

Please find attached four notices of transfer of claims

ISIN XS0186883798      Transferee: Zähringer Privatbank AG

Transferor: Notenstein La Roche  
Privatbank AG

If you have any questions, please do not hesitate to contact us. We thank you in advance for your cooperation.

Yours faithfully  
Swisscom (Schweiz) AG



Katharina Tschannen



Michael Maurer

FILED / RECEIVED  
MAY 31 2016  
EPIQ SYSTEMS

registered mail  
Lehman Brothers Holdings  
Claims Processing  
c/o Epig Bankruptcy Solutions, LLC  
FDR station, PO Box 5076  
New York, NY 10150-5076  
United States

